

Attorney's Docket No.: 17730-006001

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Applicant : Rian R. Maloney  
Serial No. : 09/558,749  
Filed : April 20, 2000

Art Unit : 2623  
Examiner : Anand P. Bhatnagar

Title : METHOD AND SYSTEM FOR EMULATING A CHECK SORTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is Request for Acknowledgement of References on PTO-1449, faxed this 1<sup>st</sup> day of September, 2005, to the United States Patent and Trademark Office.

Fish & Richardson P.C.  
1717 Main Street  
Suite 5000  
Dallas, Texas 75201  
Telephone: (214) 292-4084  
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Attorney's Docket No.: 17730-006001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Rian R. Maloney  
Serial No. : 09/558,749  
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Title : METHOD AND SYSTEM FOR EMULATING A CHECK SORTER

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR ACKNOWLEDGEMENT OF REFERENCES ON PTO-1449

Applicant hereby requests consideration of the International Search Reports for PCT/US01/11279 and PCT/US01/12837 that were identified on Form PTO-1449, copies of these search reports accompanied the Information Disclosure Statement filed on January 16, 2002 by first class mail and acknowledged by the U.S. Patent Office on February 11, 2002. Applicant requests Examiner provide evidence of consideration of the prior art reference via a Supplemental Notice of Allowability enclosing an initialed Form PTO-1449 indicating review and acceptance of such references.

For the Examiner's reference, the enclosed copies of previously filed documents will provide evidence of submission of the prior art references to the U.S. Patent Office:

- (1) Postcard indicating submission of the Information Disclosure Statement, PTO Form 1449 with references as acknowledged by the U.S. Patent Office on February 11, 2002;
- (2) Information disclosure statement filed by first class mail on January 16, 2002 stating "Enclosed are copies of two PCT International Search Reports identifying the references contained in the Information Disclosure Statement"; and
- (3) Form PTO-1449 as provided on January 16, 2002 by first class mail.

For the Examiner's convenience, Applicant is enclosing additional copies of the International Search Reports for PCT/US01/11279 and PCT/US01/12837.

## CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

September 1, 2005  
Date of Transmission

Signature 

Jennifer Preuss  
Typed or Printed Name of Person Signing Certificate

Applicant : Rian R. Maloney  
Serial No. : 09/558,749  
Filed : April 20, 2000  
Page : 2 of 2

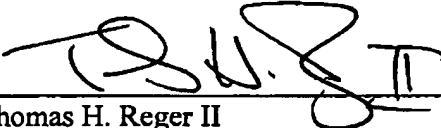
Attorney's Docket No.: 17730-006001

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: \_\_\_\_\_

8-31-05

  
\_\_\_\_\_  
Thomas H. Reger II  
Reg. No. 47,892

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<input type="checkbox"/> Informal Drawings		<u>with references</u>	
<input type="checkbox"/> Amendment/Response			

Name of Inventor(s): Rian R. Maloney

Title of Invention: A Method and System for Emulating ...

Client or Applicant: Stirling Commerce BB File No.: 02/168,1087

Mailed: 1-16-02

Due:

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09/558,749

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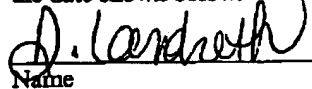
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rian R. Maloney  
Serial No. 09/558,749  
Filing Date: April 20, 2000  
Group Art Unit: 2621  
Title: METHOD AND SYSTEM FOR EMULATING A CHECK  
SORTER

Honorable Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that this  
correspondence is being  
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States Postal Service as first  
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Commissioner for Patents,  
Washington, D.C. 20231, on  
the date shown below.

  
Name

  
Date of Signature

INFORMATION DISCLOSURE STATEMENT

Applicant respectfully requests, pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Enclosed are copies of two PCT International Search Reports identifying the references contained in this Information Disclosure Statement. The PCT International Search Reports have mailing dates of October 26, 2001 and November 29, 2001, respectfully. Therefore, I hereby certify that the references contained in this Information Disclosure Statement were cited for the

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SERIAL NO.  
09/558,749

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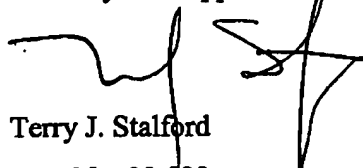
first time in a communication from a foreign patent office in a related foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(c), Applicant believes that this Information Disclosure Statement has been filed before the mailing date of a final action or notice of allowance. Therefore, Applicant believes that no fee is due. However, if any additional fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker & Botts, L.L.P.

Respectfully submitted,

BAKER & BOTTS, L.L.P.

Attorneys for Applicant



Terry J. Stalford  
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(dl)

Date: 1/15/02

PTO-1449  <b>Information Disclosure Citation In an Application</b>	Application No. 09/558,749		Applicant(s) Rian R. Maloney	
	Docket Number 021768.1087	Group Art Unit 2621	Filing Date April 20, 2000	

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,159,548	10/27/92	Caslavka	364	408	07/27/90
	B	5,534,682	07/09/96	Graef et al.	235	379	03/21/95
	C	5,790,260	08/04/98	Myers	358	296	11/08/95
	D	5,825,009	10/20/98	Schmid et al.	235	462	03/11/97
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	M	0 653 734 A2	11/11/94	EPA	G07F	19/00	X	
	N	EP 0 841 643 A1	10/10/97	EPA	G07D	11/00	X	
	O	EP 0 845 763 A1	10/13/97	EPA	G07D	11/00	X	
	P							
	Q							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	R	PCT International Search Report in International Application No. 01/11279, dated October 26, 2001, 7 pages.	10/26/01
	S	PCT International Search Report in International Application No. 01/12837, dated November 29, 2001, 8 pages.	11/29/01
	T		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE

DAL01:651468.1